

01-16-01

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PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032
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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. **HEIN 13.968**First Inventor or Application Identifier **Kari KIRJAVAINEN**Title **DIELECTRIC CELLULAR ELECTRET FILM..**Express Mail Label No. **EL522397208US****APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages **11**]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **2**]
4. Oath or Declaration [Total Pages **1**]
- a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of
(when there is an assignee) ☐ Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ * Small Entity ☒ Statement filed in prior application,
Statement(s) Status still proper and desired
(PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: **08/776.868**

Prior application information: Examiner **C. PRATT**Group / Art Unit: **1771**

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by
reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

| | | | | | |
|---------|-------------------------------------|-----------|---------------|----------|---------------|
| Name | Helfgott & Karas, P.C. | | | | |
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| Name (Print/Type) | Samson Helfgott, Esq. | Registration No. (Attorney/Agent) | 23,072 |
| Signature | <i>[Signature]</i> | Date | 1/11/2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any
comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office,
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Box Patent Application, Washington, DC 20231.

01/11/01
3662 U.S. PTO

THE COMMISSIONER OF PATENTS AND TRADEMARKS
Washington D.C. 20231
Box Patent Applications

se Docket No. HEIN 13968A
ed by Express Mail
(Receipt No. EL5223972080S)
on January 11, 2001
pursuant to 37 CFR 1.10
by Linda E. Hastings
LINDA E. HASTINGS

Transmitted herewith for filing is: ☐ a new application
☒ a C-I-P application of S.N. 08/776,868 filed 5/6/1997

Inventor(s): Kari KIRJAVAINEN, Keijo KORHONEN, Jyrki KROGER, Lasse RAISANEN

For: **DIELECTRIC CELLULAR ELECTRET FILM AND
PROCEDURE FOR ITS MANUFACTURE**

Enclosed are:

- ☒ 2 sheets of drawings. (Figs. 1-2.)
☒ Specification, including claims and abstract (11 pages)
☐ Declaration
☐ An assignment of the Invention to _____
☐ A certified copy of _____ Application No(s). _____
☒ Applicant claims small entity status
☒ Postcard
☐ Information Disclosure Statement, PTO-1449, copies of _____ references
☒ Other: One-month extension and Check #26614 in the amount of \$55.00
☒ Other: Preliminary Amendment and Utility Patent Application Transmittal
☒ Other: Check #26624 in the amount of \$672.00

| | Col. 1 | Col. 2 |
|--|-----------|-----------|
| FOR: | NO. FILED | NO. EXTRA |
| BASIC FEE | | |
| TOTAL CLAIMS | 33-20 | 13 |
| INDEP CLAIMS | 8-3 | 5 |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED | | |

*If the difference in Col. 1 is less than
zero, enter "0" in Col. 2

| SMALL ENTITY | |
|--------------|-------|
| RATE | FEE |
| | \$355 |
| x 9 = | \$117 |
| x 40 = | \$200 |
| x 135 = | \$ |
| TOTAL | \$672 |

| OTHER THAN A SMALL ENTITY | |
|------------------------------|-----|
| RATE | FEE |
| | \$ |
| x 18 = | \$ |
| x 80 = | \$ |
| x 270 = | \$ |
| TOTAL | \$ |

☐ Please charge our Deposit Account No. 08-1634 the amount of _____ to cover the filing fee
and recording fee (if any)

☒ A check in the amount of \$672.00 to cover the filing fee and the recording fee (if any) is enclosed.

☐ The Commissioner is hereby authorized to charge payment of any fee associated with this communication or
credit overpayment to Deposit Account No. 08-1634. A duplicate copy of this sheet is enclosed.

Helfgott & Karas, P.C.
60th Floor
Empire State Building
New York, New York 10118-6098
(212)643-5000

Respectfully Submitted,

Date: 01/11/01

Any fee due with this paper, not fully
covered by an enclosed check, may be
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- ☐ Aaron B. Karas, Reg. No. 18,923
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Docket No.: HEIN 13.968A
Applicant: KARI KIRJAVAINEN et al.
2 sheets of drawings, Figs. 1, 2.
Filed by Express Mail
Rec. No. EL 522397208 US
on January 11, 2001
pursuant to 37C.F.R. 1.110
BY Linda E. Hastings
LINDA E. HASTINGS

10825 U.S. PTO
09/759938



PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

01/19/2001 MWOLDER1 00000021 09759938

| | | |
|-----------|--------|----|
| 01 FC:201 | 355.00 | DP |
| 02 FC:202 | 200.00 | DP |
| 03 FC:203 | 117.00 | DP |

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(5/87)